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*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

January 9, 2002

INTERNET ADDRESS: JGOLD@SKGF.COM

Art Unit 1614

Coronissioner for Patents Mawashington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/956,980; Filed: September 21, 2001

For: Agents for Use in the Treatment of Alzheimer's Disease

Inventors:

Bush et al.

Our Ref:

0609.4550001/JAG/FRC

Sir:

N

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal Form (PTO/SB/17);
- 2. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1) (in duplicate);
- 3. Letter to PTO Draftsman: Submission of Formal Drawings (in duplicate);
- 4. <u>58</u> sheets of drawings (Figures 1, 2A, 2B, 2C, 3, 4A-4B, 4C, 5A, 5B, 6, 7, 8, 9, 10-11, 12, 13A, 13B, 14A, 14B, 14C, 14D, 14E, 15A, 15B, 16A, 16B, 17, 18, 19A, 19B, 19C, 20A, 20B, 21, 22, 23, 24, 25A, 25B, 26, 27, 28A, 28B, 29A, 29B, 30, 31A, 31B, 32A, 32B, 32C, 33A, 33B, 34A, 34B, 34C, 34D and 34E);
- 5. Copy of the Notice to File Corrected Application Papers;
- 6. Our check no. 33757 in the amount of \$55.00 to cover the extension of time fee; and
- 7. Return Postcard.

Commissioner for Patents January 9, 2002 Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jorge/A. Goldstein Attorney for Applicants Registration No. 29,021

JAG/pcd Enclosures

P:\USERS\pdomally\Frank.C\0609\4550001\FormalDrawings_skgfcvrltr



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bush et al.

Appl. No. 09/956,980

Filed: September 21, 2001

For: Agents for Use in the Treatment of

Alzheimer's Disease

Confirmation No. 6687

Art Unit: 1614

Examiner: To be assigned

Atty. Docket: 0609.4550001/JAG/FRC

Batch No. N/A

Letter to PTO Draftsman: Submission of Formal Drawings

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith are 58 sheets of formal drawings with Figures: 1, 2A, 2B, 2C, 3, 4A-4B, 4C, 5A, 5B, 6, 7, 8, 9, 10-11, 12, 13A, 13B, 14A, 14B, 14C, 14D, 14E, 15A, 15B, 16A, 16B, 17, 18, 19A, 19B, 19C, 20A, 20B, 21, 22, 23, 24, 25A, 25B, 26, 27, 28A, 28B, 29A, 29B, 30, 31A, 31B, 32A, 32B, 32C, 33A, 33B, 34A, 34B, 34C, 34D and 34E, corresponding to the informal drawings submitted with the above-captioned application. Identification of the drawings is provided in accordance with 37 C.F.R. § 1.84(c). Acknowledgment of the receipt, approval, and entry of these formal drawings into this application is respectfully requested.

It is not believed that an extension of time is required, other than any already provided herewith. However, if an extension of time is needed to prevent abandonment of the application, then such extension of time is hereby petitioned. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this Letter is enclosed.

Respectfully submitted,

STERNE KESSLER, GOLDSTEIN & FOX P.L.L.C.

Jorge A. Goldstein Attorney for Applicants Registration No. 29,021

Date

1902

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/956,980

09/21/2001

Ashley I. Bush

0609.4550001

26111 STERNE, KESSLER, GOLDSTEIN & FOX PLLC 1100 NEW YORK AVENUE, N.W., SUITE 600 WASHINGTON, DC 20005-3934 CONFIRMATION NO. 6687
FORMALITIES LETTER
OC000000006909250

Date Mailed: 10/15/2001

01P LL 68

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 051-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TOTAL PARMOUNT OF PAYMENT

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

(\$)55.00

Complete if Known						
Application Number	09/956,980					
Filing Date	September 21, 2001					
First Named Inventor	Ashley I. Bush					
Examiner Name	To be assigned					
Group Art Unit	1614					
Attorney Docket No.	0609.4550001/JAG/FRC					

METH	OD OF PAYMENT (ch	neck one)	T			FEF	E CALCULATION	(continued)	: 1	-
	missioner is hereby authores and credit any overpa		3. ADDI Large	TIONAL Entity		Entity	,		,	
Deposit Account Number	19-0036		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee (Description		Fee paid
Deposit Account Name	Sterne, Kessler, Goldstein	& Fox P.L.L.C.	105	130	205	65	Surcharge - late filing	fee or oath		
Charge Any A	dditional Fee Required		127	. 50	227	25	Surcharge - late provision	nal filing fee or cover she	eet	
Under 37 CFR	R §§ 1.16 and 1.17		139	130	139	130	Non-English specif	fication		
Applicant clai	ims small entity status		147	2,520	147	2,520	For filing a request for	or <i>ex parte</i> reexami	ination	
Sec 37 C. R. 1.2			112	920*	112	920*	Requesting publication action	of SIR prior to Examin	ner .	
2. Payment Enclo	sed:		113	1,840*	113	1,840*	Requesting publication	of SIR after Examiner	action	
Check Credit card Money Order Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-2036.		115	110	215	55	Extension for reply w	vithin first month		55:00	
	FEE CALCULATION		116	400	216	200	Extension for reply w	vithin second month	1	
I. BASIC FILING FE	E		117	920	217	460	Extension for reply w	vithin third month	l	
Large Entity Small Fee Fee Fee	Entity Fee Fee Description	n Fee Paid	118	1,440	218	720	Extension for reply w	ithin fourth month	l	
Code (\$) Code		ree raid	128	1,960	228	980	Extension for reply w	ithin fifth month		
101 740 201	370 Utility filing fee		119	320	219		Notice of Appeal			
106 330 206	165 Design filing fee		120	320	220	160	Filing a brief in suppo	ort of an appeal		
107 510 207	255 Plant filing fee		121	280	221	140	Request for oral hear	ring	į	
108 740 208	370 Reissue filing fee		138	1,510	138			Petition to institute a public use proceeding		
114 160 214	80 Provisional filing t	iee	140 141	110 1,280	240		Petition to revive - ur			
SUBTOTAL ((1) (\$)		141	1,280	241 242		Petition to revive - ur Utility issue fee (or re			
,	·/ (*/		143	460	242		Design issue fee			
i			144	620	244		Plant issue fee			
		'	122	130	122		Petitions to the Comr	missioner		
2. EXTRA CLAIM FE	EES Fee from		123	130	123		Petitions related to pr		ons	
Total Claims - :			126	180	126	180	Submission of Inform	nation Disclosure St	ımt	
Total Claims 20** = X = ndep. Claims 3** = X =			581	40	481		Recording each patent assignment per property (times number of properties)			
Multiple Dependent	ependent =			740	246	370	Filing a submission a (37 CFR 1.129(a))			
Fee Fee Fee	Entity Fee Fee Description	J n	149	740	249	370	For each additional ir (37 CFR 1.129(b))	vention to be exam	nined	
Code (\$) Code 103 18 203	(5)9 Claims in excess of 2	20	179	740	279		Request for Continue	d Examination (RC	E)	
	 42 Independent claims i 40 Multiple dependent c 		169	900	169		Request for expedited application	d examination of a	design	
	42 **Reissue independe		Other fee (s	specify) :					ŀ	
110 18 210	patent 9 **Reissue claims in e original patent	excess of 20 and over	Other fee (specify):							
			*Reduced by Basic Filing Fee Paid							
	aid, if greater; For Reissues, s	ee above					SUBTOTAL			
SUBMITTED BY						Complete (if applicable)				
Name (Print/Type)	Jorge A. Goldstein			Registration No. (Attorney/Agent)			29,021	Telephone	202-37	71-2600
Signature		<u> </u>						Date	1/91	02